Opal Group

Family Office & Private Wealth Management Forum West

October 24-26, 2018 | Napa Valley Marriott, Napa, CA

Tuesday, October 23, 2018

6:30 PM - 8:00 PM	**Official Welcome Reception** Join us to kick off this year's event with fellow industry professionals for refreshments and hors d'oeuvres.

Wednesday, October 24, 2018

8:00 AM	**Exhibit Set-Up**
8:00 AM	**Registration/Information Desk Opens** All confirmed pre-registered delegates must approach the Registration Desk to check in and pick up conference badges. Business Cards are required to retrieve badges.
8:00 AM	**Hospitality Lounge Open** **Sponsored by:** Camelback Odyssey Travel
8:30 AM	**Networking Continental Breakfast in Exhibit Hall** **Sponsored by:** Janus Henderson Investors
9:00 AM	**Opening Remarks**
9:15 AM	**The Modern Day Family Office: How to Properly Structure & Select Resources That Fit Your Family's Needs** **Moderator:** **Biff Pusey, Senior Wealth Advisor & Portfolio Manager,** Keel Point (MFO) **Panelists:** **Thomas Handler, J.D., P.C., Partner & Chairman of Advanced Planning and Family Office Practice Group,** Handler Thayer, LLP **Joseph Ehrlich, Executive Vice President,** Owens Group **Rhona Vogel, CEO & Founder,** Vogel Consulting (MFO) **Kristin Anderson, Principal Human Consultant,** Trinet

10:15 AM	**Social Investing: Building Systems that Attract Investors** • Critical Steps to Create an Effective Investing Strategy • When do the big trends and solutions become additive and generate "alpha"? • Increased interest in community investing? • Investors want to see that their impact is making a real difference for working people. How do you achieve that? • What Will It Take to Get to Scale? • Dos and Don'ts for Social Entrepreneurs Seeking Funding • Theme-Specific Investments? • Hidden Risks and the Importance of Fund Level Due Diligence • Designing unique investment programs for high-net-worth clientele • Tax consequences of investment actions. **Moderator:** **Barry Gurland, Principal, DB Family Offices Services LLC (MFO)** **Panelists:** **Douglas Evans, Senior Managing Director, Abbot Downing (MFO)** **Lisa Russell, CFA, Chief Investment Officer, Peak Trust Company (MFO)** **Brandon Rath, Chief Investment Officer, Calamar Capital Services (SFO)**
11:00 AM	**Networking Refreshment Break in Exhibit Hall** **Sponsored by:** **Cove Street Capital**
11:20 AM	**Nick Maroutsos, Co-Head of Global Bonds, Janus Henderson Investors**
11:40 AM	**TBA, Pretium Partners**
12:00 PM	**Jeffrey Bronchick, CFA, Founder and CIO, Cove Street Capital**
12:20 PM	**TBA, Altegris Investments**
12:40 PM	**Keynote Presentation** **M.K. Palmore, CISM, CISSP, Assistant Special Agent in Charge, Federal Bureau of Investigation**
1:10 PM	**Networking Luncheon** **Sponsored by:** **Pretium Partners**
SPLIT TRACKS	***Track A*** | ***Track B***

2:30 PM	**Investing in Private Debt and Direct Lending (or Opportunities in Credit Investing)** **Moderator:** **Don Shannon, Director, StepStone Group** **Panelists:** **Garret Bjorkman, VP of Portfolio Oversight, CIM Group** **TBA, Pacific Private Money Inc.**	**Non-Correlated Alternative Fund Strategies** **Moderator:** **Mark Perry, Managing Director, Wilshire Consulting** **Panelists:** **Kimberly Ann Flynn, Managing Director Alternative Investments, XA Investments LLC**
3:30 PM	**Investing in Private Equity and Venture Capital Deal Structures** **Moderator:** **Howard Cooper, Chief Executive Officer, Cooper Family Office (SFO)** **Panelists:** **Scott C. Smith, Partner, Hanson Bridgett LLP** **Vas Bailey, Partner, ARTIS Ventures**	**Investing in Niche Strategies and Emerging Managers** • Defining today's niche strategies and how niche can become mainstream • Investing early to build relationships for successor funds • Finding scalable niche and emerging opportunities • Seed and acceleration economics • Underwriting newer firms, additional diligence steps: terms, team, track record, infrastructure **Moderator:** **Phillip Vitale, Chief Investment Officer, Filament Advisors, LLC (MFO)** **Panelists:** **Scott Glickman, CFA, CAIA, Director, FQS Capital Partners L.P** **Kevin McNally, Portfolio Manager, Clough Capital Partners** **TBA, eVestment**
4:30 PM	**Investing in Sustainable and Disruptive Technology** Moderator: **Nathan McDonald, Managing Partner and CEO, Keiretsu Capital** Panelists: **TBA, Keiretsu Capital** **TBA, Keiretsu Capital** **TBA, Keiretsu Capital** **TBA, Keiretsu Capital**	**Investing in Fixed Income: Investing in a Rising Rate Environment** **Moderator:** **Michael Wagner, Director of Fixed Income, Tolleson Wealth Management (MFO)** **Panelists:** **Howard Coleman, JD, Chief Investment Officer, Coldstream Wealth Management (MFO)** **TBA, City National Rochdale**

5:15 PM-6:15 PM	**Networking Cocktail Reception in Exhibit Hall** Join us and unwind with fellow industry professionals for refreshments during our last networking break of the day. **Sponsored by:** **Altegris** Investments
6:15 PM-7:15 PM	**Keiretsu Capital's Innovation Showcase** **Scotch Pitch**

Thursday, October 25, 2018

8:00 AM	**Networking Continental Breakfast in Exhibit Hall** **Sponsored by:** **Advisor Partners**
8:00 AM	**Registration/Information Desk Opens** All confirmed pre-registered delegates must approach the Registration Desk to check in and pick up conference badges. Business Cards are required to retrieve badges.
8:00 AM	**Hospitality Lounge Open** **Sponsored by:** **Camelback Odyssey Travel**
7:30 AM	**Private Closed Session for Family Offices** **(Family Offices ONLY)** **"Family Issue Processing"** This private, closed-door session is designed exclusively for foundations, family offices, multi-family offices, non-discretionary consultants and accredited high-net worth individuals. Opal is committed to enhancing experiences and interaction for Families and Family Offices and is consequently dedicating an extended session to them. Peer-to-peer conversation on key issues for participants, which will depend on interest, and may include: Participants are expected to share experiences, thoughts, concerns, challenges, and solutions in a private peer exchange setting. The session will be a facilitated, interactive, dynamic conversation among the participants. **No Managers or Service Providers will be allowed in the session, no exceptions.** Facilitator:

<table>
<tr><td>8:30 AM</td><td colspan="2">Opening Remarks</td></tr>
<tr><td>8:40 AM</td><td colspan="2">Gordon Short, Managing Director, GBX Group LLC</td></tr>
<tr><td>SPLIT TRACKS</td><td>Track A</td><td>Track B</td></tr>
<tr><td>9:00 AM</td><td>Real Estate Investing: Building, Balancing and Tweaking Your Portfolio

Moderator:
William Lappas, Managing Director, Woodbridge Capital Partners LLC (SFO)

Panelists:
Jameson Haslam, Partner Peg Capital Partners
TBA, Cadence Capital Partners
TBA, Shannon Waltchack, LLC</td><td>Investing in the Cannabis Industry

Moderator:
Sherri Haskell, Founder, CEO, CannaAngels LLC

Panelists:
Tegan Adams, CEO, New Maple Holdings
Michael D. Schwamm, Partner, Duane Morris LLP
Travis Howard, CEO, Shift Cannabis
Nic Easley, CEO & Managing Director, Multiverse Capital, LLC</td></tr>
<tr><td>10:00AM</td><td>Investing in the Energy Markets

Moderator:
Shawn Quinn, Vice President, Wilshire Private Markets

Panelists:</td><td>Crypto Currency Market Investments

Moderator:

Panelists:
Brian Sewel, CIO, Rockwell Fund
George Clayton, Managing Partner, Cryptanalysis Capital LLC</td></tr>
<tr><td>11:00 AM</td><td colspan="2">Networking Refreshment Break
Sponsored by:
Mining Interactive</td></tr>
<tr><td>11:20 AM</td><td>Impact Investing: Driving Social Purpose Through Measurable Investment Returns
Exploring the Role of Alternatives in Impact Investing
Measuring Social Impact with Big Data Analytics
How can Big Data innovate Impact Investing?
Top 5 Challenges in Impact Investing Projects
ROI strategies for impact investments
Breaking Down ESG/SRI Strategies</td><td>Investing in Blockchain - Distributed Ledger Technology (DLT)

Moderator:
Matthew Le Merle, Partner, Keiretsu Capital

Panelists:
Haydar Haba, Managing Partner, Andra Capital
Jason Toussaint, Managing Director Asia Pacific, Emergent Technology Holdings</td></tr>
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	Moderator: **Tami Kesselman, Founder & Chief Strategist, Aligned Investing Global** **Panelists:** **Christa Maxwell, CFA, CIPM, Director of Business Development, Kennedy Capital Management, Inc.** **Carolyn Weiss, CFO & Treasurer, New York Community Trust** **Michael Williams, Director, Sixty West, LLC** **Jim Huston, Managing Director, Portland Seed Fund**	**TBA, Arca Funds**
12:20 PM	**Jonathan Lewis, Managing Partner, JLJ Capital**	
12:40 PM	**Socially Responsible Portfolios: Do Your Investments Align with Your Values?** **Rahul Agrawal, Chief Investment Officer, Equities, Advisor Partners**	
1:00 PM	**Networking Luncheon** **Sponsored by:** **JLJ Capital**	
2:30 PM	**Keynote Presentation** **Todd Barnum, Chief Information Security Officer, GoPro, Inc.**	
3:00 PM	**Tracking the Smart Money Part I: Family Office CIO Roundtable: (USA/Domestic)** This panel will be a discussion of USA/Domestic family office CIOs looking at where they think the smart money is being invested and the strategies they see being the best fits for investors in not only today's markets but also moving forward. We will go over the areas of how to access deal flow in the USA and what the perils, pitfalls and opportunities are for family offices. **Moderator:** **Brian Smiga, Partner, Alpha Venture Partners/Pritzker Group Venture Capital** **Panelists:** **Carol Pepper, CEO & Founder, Pepper International LLC (MFO)** **Michael Pompian, Founder and CIO, Sunpointe Investments (MFO)** **Sandip Bhagat, Chief Investment Officer, Whittier Trust Company (MFO)**	
3:45 PM	**Tracking the Smart Money Part II: Family Office CIO Roundtable: (International)** This panel will be a discussion of international family office CIOs looking at where they think the smart money is being invested and the strategies they see being the best fits for investors in not only today's markets but also moving forward. We will go over the areas of how to access deal flow in the USA and what the perils, pitfalls and opportunities are for family offices.	

	Moderator: **Vincent Jelani, Chairman & CEO, Crux Holdings (SFO)** **Panelists:**
4:30 PM	**Portfolio Harmony: Balancing Your Asset Allocation and Portfolio Construction While Managing Risk to Maximize Investment Returns** • Risk? What Risk?" Risk is more than volatility. • Net under the High Wire?" Protecting portfolios against volatility. • No, Wait, I Can MAKE Trading?" Investing in volatility. • Prognosis for markets, near and longer-term. • Targeted asset allocation strategies moving forward • Using technology to monitor your portfolio and manage risk • US equity and bond markets have enjoyed lengthy bull markets, are we at risk of these markets going against us? **Moderator:** **Brandon Laughren, Chief Investment Officer, The Laughren Group (SFO)** **Panelists:** **Keith Seibert, Managing Director, Hedge Funds and Public Markets, CM Capital Advisors, LLC (SFO)** **Michael Schulman, Managing Director, Senior Portfolio Manager, Hollencrest Capital Management (MFO)** **Joseph Meyer** Jr, **President/Chief**, **Investment Officer**, **Statim Holdings, Inc. (SFO)** **Michael Wu, Investment Strategist, Vice President, GenSpring SunTrust Private Wealth (MFO)**
5:30 PM - 6:00 PM	**Keynote Presentation** **China's Tale of Two Currencies** **Kyle Bass, Managing Partner, Hayman Capital Management, LP**
6:00 PM - 7:00 PM	**Networking Cocktail Reception in Exhibit Hall** Join us and unwind with fellow industry professionals for refreshments during our last networking break of the day. **Sponsored by:** **GBX Group LLC**

Friday, October 26, 2018

7:30 AM	**Continental Breakfast** **Sponsored by:** **Virtua Capital Management, LLC**
7:30 AM	**Hospitality Lounge Open**

	Sponsored by: **Camelback Odyssey Travel**
7:30 AM	**Private Closed Session for Family Offices** **(Family Offices ONLY)** This private, closed-door session is designed exclusively for foundations, family offices, multi-family offices, non-discretionary consultants and accredited high-net worth individuals. Opal is committed to enhancing experiences and interaction for Families and Family Offices and is consequently dedicating an extended session to them. **TOPIC** **USA/Domestic & International Family Office Discussion:** **The Perils, Pitfalls and Opportunities for Families When Investing Cross Boarders** (continuation of CIO roundtables now open to all families to discuss) ***Participants are expected to share experiences, thoughts, concerns, challenges, and solutions in a private peer exchange setting. The session will be a facilitated, interactive, dynamic conversation among the participants.*** **No Managers or Service Providers will be allowed in the session, no exceptions.** Facilitators: **Brian Smiga, Partner, Alpha Venture Partners/Pritzker Group Venture Capital** **Vincent Jelani, Chairman & CEO, Crux Holdings (SFO)**
8:45 AM	**Welcome Remarks**
9:00 AM	**North Bay Community Resilience Initiative: The path to resilience and sustainability** **Matt Renner, Development & Strategic Partnerships Director, Clean Coalition**
9:20 AM	**Derek Uldricks, President, Virtua Capital Management, LLC**
9:40 AM	**Nick Nicolaas, President & CEO, Mining Interactive**
10:00 AM	**How Can Families Access the Best Direct Deal Flow via GP/Co-investments or Family Office Consortiums?** **Moderator:** **Ron Diamond, CEO, Diamond Wealth Strategies** **Panelists:**

	Christopher Nolte, President, Marcus Investments (SFO) Robert Lee, Principal, The Beverly Park Group (MFO) David Morse, Managing Director and Global Co-Head of Private Equity Co-Investments, Neuberger Berman Mac LaFollette, Founder, The GC Companies
11:00 AM	Engaging the Next Gen to be Stewards of the Family Legacy Moderator: Skip Coomber, III, President, Coomber Family Estates (SFO) / Dragon Trust Family Office (SFO) Panelists: Shawn Castellanos, National Vice President Individual and Principal Giving, American Heart Association Alex Suarez, Chairman, Family Office Partners, Inc. (MFO) Chelann Gienger, Next Gen Entrepreneur, Podcast host, Author, Entrepreneur Before 25 Podcast
11:45 AM	Governance and Trusts: Planning for the Next Generation Moderator: Linda Mack, President and Founder, Mack International, LLC Panelists: Susan R. Schoenfeld, CEO and Founder, Wealth Legacy Advisors LLC Thomas Handler, J.D., P.C., Partner & Chairman of Advanced Planning and Family Office Practice Group, Handler Thayer, LLP
12:30 PM	Closing Remarks
1:00 PM	**Napa Valley Wine Tour and Luncheon Networking Event at Sponsored by:** Keiretsu Capital